CURRICULUM VITAE

David Richard Thomas Winward

personal financial planning

Centaur House
Hope Street
Sandbach
Cheshire, CW11 1BA

Tel: 01270 759 786
DX: 15653 Sandbach
Fax: 01270 759 787

Date and Place of Birth: 19th September 1983
Crewe, England

Education and Professional Qualifications:

1995 – 2000	Hartford High School
2008	Certificate in Financial Planning
2009	J01 Personal Taxation
2010	J06 Investment Principles, Markets and Environments
	Diploma in Financial Planning:
2011	R04 Pensions and Retirement Planning
2012	R06 Financial Planning Practice
2016	R08 Pensions Update
	Advanced Diploma in Financial Planning:
2017	AF5 Financial Planning Process

Authorised to provide independent financial advice: FSA reference DRW01147.

Employment Details:

Company:	**Personal Financial Planning Limited**
Position:	Consultant
Period:	November 2018 to date

Company:	**Personal Financial Planning Limited**
Position:	Trainee Consultant
Period:	July 2017 to November 2018

Company:	**Close Brothers Asset Management**
Position:	Financial Planner
Period:	February 2008 to July 2017

Company:	**Close Brothers Asset Management**
Position:	Personal Assistant
Period:	July 2005 to February 2008

Relevant Experience:

- Providing a holistic financial planning service focusing on investments, protection and pensions to clients with a wide range of financial circumstances and backgrounds.
- Providing an excellent level of customer service to clients, over the telephone and face to face, to determine their holistic financial planning requirements.
- Completing fact find and risk profile questionnaires over the telephone to collate clients' financial circumstances, risk profile and investment objectives, prior to composing suitability reports and supporting documents.
- Continual Professional Development entailing a minimum of 35 hours of logged CPD-related knowledge, skills and training annually.
- Shortlisted for Adviser of the Year in 2015 as part of the Close Brothers Asset Management recognition scheme.
- Since joining PFP, Richard has undertaken a comprehensive training and induction programme in order to specialise in providing Independent Financial Advice to recipients of Personal Injury awards.

Chartered Insurance Institute
Standards. Professionalism. Trust.

Statement of Professional Standing

Mr David Winward

FCA Individual Reference Number:

DRW01147

People must be approved by the FCA before giving financial advice. You can check if this person is approved by the FCA to give advice by going to fca.org.uk/register and searching with their FCA individual reference number shown above.

The Chartered Insurance Institute (CII) has issued this statement to the above named adviser. The CII has checked that the adviser meets the required qualification standard and confirms the adviser has signed an annual declaration stating that they have kept their knowledge up to date and complied with the Statements of Principle and Code of Practice for Approved Persons (APER).

Date of issue: **1 May 2019** Valid until: **30 April 2020**

Sian Fisher

Sian Fisher BA (Hons), ACII
Chartered Insurance Practitioner
CEO, Chartered Insurance Institute

The Chartered Insurance Institute (CII) is the premier professional body for the insurance and financial planning sector with over 120,000 members in more than 150 countries. It promotes higher standards of integrity, technical competence and business capability. The person named above is a member of the CII and is bound by a Code of Ethics as a condition of membership. For more information, visit cii.co.uk/membersearch

CII Permanent Identity Number **001465588D**

pfp