

## CURRICULUM VITAE

James Ryan Rooney

DipPFS

Date and Place of Birth: 17<sup>th</sup> May 1978  
Halifax, England

### Education and Professional Qualifications:

1989 – 1994	The Brooksbank School, Elland
1994 – 1996	Huddersfield New College, Huddersfield
2000	Securities Representative
2007	Certificate in Financial Planning
2012	Diploma in Financial Planning
2016	STEP Certificate for Financial Services (Trusts and Estate Planning)
2018	CISI Islamic Finance Qualification

Authorised to provide independent financial advice: FCA reference JRR01048

### Employment Details:

**Company:** Personal Financial Planning Limited  
**Position:** Paraplanner/Consultant  
**Period:** June 2008 to date

**Company:** Taylor Withers & Company  
**Position:** Financial Advisor  
**Period:** January 2008 – June 2008

**Company:** Aegon  
**Position:** Consultant  
**Period:** June 2006 – January 2008

**Company:** Sesame Bankhall  
**Position:** Credit Risk Analyst  
**Period:** June 2005 – June 2006

**Company:** TD Waterhouse Investor Services  
**Position:** Investment Representative  
**Period:** January 1999 – June 2005

personal  
financial  
planning



10 Riversway Business Village  
Navigation Way  
Ashton-on-Ribble  
Preston, PR2 2YP

**Tel:** 01772 776 990

**Fax:** 01772 776 991

providing expert independent financial advice to personal injury claimants

[www.pfp-planahead.co.uk](http://www.pfp-planahead.co.uk)

**Relevant Experience:**

- Involved in providing specialist Independent Financial Advice to recipients of Personal Injury awards since 2008.
- These have included road traffic accidents, clinical negligence and also fatal accident cases.
- The awards have ranged from less than £10,000 to over £14.5 million.
- Portfolios enacted on behalf of Claimants have included periodical payments, Unit Trusts, Open Ended Investment Companies, Government Stocks, deposit-based investments and liaison with individual stock brokers.

*References can be provided upon request.*





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# Statement of Professional Standing

**Mr James Rooney**

FCA Individual Reference Number:

**JRR01048**

People must be approved by the FCA before giving financial advice. You can check if this person is approved by the FCA to give advice by going to [fca.org.uk/register](http://fca.org.uk/register) and searching with their FCA individual reference number shown above.

The Chartered Insurance Institute (CII) has issued this statement to the above named adviser. The CII has checked that the adviser meets the required qualification standard and confirms the adviser has signed an annual declaration stating that they have kept their knowledge up to date and complied with the Statements of Principle and Code of Practice for Approved Persons (APER).

Date of issue: **1 August 2018**

Valid until: **31 July 2019**

Ian Fisher BA (Hons), ACII  
Chartered Insurance Practitioner  
CEO, Chartered Insurance Institute

The Chartered Insurance Institute (CII) is the premier professional body for the insurance and financial planning sector with over 120,000 members in more than 150 countries. It promotes higher standards of integrity, technical competence and business capability. The person named above is a member of the CII and is bound by a Code of Ethics as a condition of membership. For more information, visit [cii.co.uk/membersearch](http://cii.co.uk/membersearch)

CII Permanent Identity Number **001453178B**

